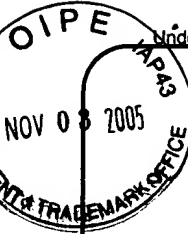


11-07-05

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/652,493

Filing Date

October 10, 2005

First Named Inventor

Mina J. Bissell

Art Unit

1642

Examiner Name

Misook Yu

Attorney Docket Number

IB-1398

ENCLOSURES (Check all that apply)

| | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input checked="" type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Petition to Withdraw Holding of Abandonment |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | 37 CFR 1.81; Exhibits A-D; Issue Fee |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | Transmittal (duplicate) and Return Postcard |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | |
| | Please Charge any credit/deficiencies to LBNL Deposit Acct#120690 Express Mail EV635996225US | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | Lawrence Berkeley National Laboratory; Customer 08076 | | |
| Signature | <i>Michelle S. Chew</i> | | |
| Printed name | Michelle S. Chew | | |
| Date | 11/3/2005 | Reg. No. | 50,456 |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

| | | | |
|-----------------------|-------------------------|------|------------|
| Signature | <i>Hadiyah Muhammad</i> | | |
| Typed or printed name | Hadiyah Muhammad | Date | 11/03/2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Practitioner's Docket No. IB-1398

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mina J. Bissell, John L. Muschler

Application No.: 09/652,493

Group No.: 1642

Filed: 08/31/2000

Examiner: Misook Yu

For: Design of Novel Assays Based on the Newly Found Role of Dystroglycan and a-Dystroglycan Proteolysis in Tumor Cell Growth

Confirmation No. 3653

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**PETITION TO WITHDRAW HOLDING OF
ABANDONMENT (37 CFR §1.181)**

Dear Sir:

This petition to withdraw holding of abandonment is made under 37 CFR § 1.181, because Applicant contends that the application is not in fact abandoned. This petition is timely filed within two months in response to the Notice of Abandonment mailed 10/11/2005.

Applicants hereby petition in accordance with § 1.181(a), and provide evidence under 37 CFR § 1.8. No petition fee is believed due. Withdrawal of the holding of the application as abandoned is hereby requested.

Applicants remarks begin on page 2.

EXPRESS MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date November 3, 2005 in an Express Mail envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. EV 635996225 US.

Date: 11/03/2005

By: Hadiyah Muhammad
Hadiyah Muhammad

REMARKS

In the communication from the PTO mailed October 11, 2005, it was noted that this application was abandoned for failure to pay the issue fee within the 3 month period from the date of the notice of allowance required by 37 C.F.R. § 1.316. Applicant hereby petitions for withdrawal of the holding of abandonment under 37 CFR § 1.181, because Applicant contends that the application was not in fact abandoned.

Applicant attaches Exhibit A, a copy of the Auto-Reply Facsimile Transmission received from the Office on 5/26/2005, showing the Issue Fee Transmittal for the present case was received by the Office. The received page clearly shows the signature of Applicant's attorney authorizing payment of the total fees due. The received page also clearly shows that the faxed issue fee transmittal was received on 5/26/2005, before the date due of 06/03/2005. Applicants also attach Exhibit B, a copy of the Issue Fee Transmittal that was faxed originally on 5/26/2005 to the Office, as certified by the assistant for Applicant's attorney, Hadiyah Muhammad, as facsimile transmitted to the Office on 5/26/2005. Lastly, Applicant attaches Exhibit C, which is a statement made by Hadiyah Muhammad that the paper was timely filed by facsimile transmission, and Exhibit D, a copy of the sending unit's report confirming transmission was successfully received, also submitted in support of Exhibit C.

Therefore, based on the evidence provided, Applicant asserts that the Issue Fee was timely paid and received by the Office before the Date Due of 06/03/2005, and the application was not abandoned. Applicant believes the evidence provided is sufficient under 37 CFR § 1.8 and request the petition be accepted.

CONCLUSION

Applicant request the withdrawal of the holding of the application in abandonment. The Commissioner is hereby authorized to charge the amount of \$700.00 for the issue fee to Deposit Account No. 120690, in accordance with the enclosed Issue Fee Transmittal (Exhibit B), which is attached in duplicate. No additional fee is believed due, but the Commissioner is authorized to charge any necessary and additional fees that may be due to Deposit Account No. 120690.

For the reasons set forth above, Applicants respectfully request that the petition to withdraw holding of abandonment be accepted based on the evidence provided that a reply and payment was timely filed.

Should there be any questions in regards to the petition, Applicants encourage the Examiner to call the undersigned at (510) 495-2456.

Respectfully submitted,

Date: 11/3/2005



Michelle S. Chew
Reg No. 50,456
Lawrence Berkeley National Laboratory
1 Cyclotron Road, Patent Dept M/S 90B104
Berkeley, CA 94720
USA
510-495-2456
Customer No. 08076

EXHIBIT A

Auto-Reply Facsimile Transmission



TO: Fax Sender at 510 486 7896
Fax Information
Date Received: 5/26/2005 4:49:55 PM [Eastern Daylight Time]
Total Pages: 1 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received
Cover
Page

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05/26/2005 13:53 510-486-7896 LBL PATENT DEPARTMENT PAGE 01/01

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting an ISSUE FEE and PUBLICATION FEE (if required). Please check the appropriate box(es) for the fee(s) being transmitted. This form should be completed and submitted to the Office by the applicant or its representative. The Office will not accept a facsimile transmission of this form unless it is accompanied by a written statement from the applicant or its representative certifying that the form was transmitted by facsimile.

Patent Council
Lawrence Berkeley National Laboratory
One Cyclotron Road MS 90-1121
Berkeley, CA 94720

Patent Council
Lawrence Berkeley National Laboratory
One Cyclotron Road MS 90-1121
Berkeley, CA 94720

Application No. 09611493 **Filing Date** 08/12/00 **Inventor** Mrs. J. Buehl **Attorney/Agent No.** 20-106 **Continuation No.** 362

Title of Invention: DESIGN OF NOVEL ASSAYS BASED ON THE NEWLY FOUND ROLE OF DYSTROGLYCAN AND ALPHA-DYSTROGLYCAN PROTEOLYSIS IN TUMOR CELL GROWTH

| UPPER TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE DUE | DATE DUE |
|-----------------|--------------|-----------|-----------------|---------------|----------|
| Non-provisional | YES | \$700 | \$0 | \$700 | 06/02/02 |

EXAMINER YU, M. S. **ART UNIT** 435-08723

1. Change of correspondence address or indication of "To Address" (37 CFR 1.33).
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB-112) attached.
☐ "To Address" indication (or "Fee Address" indication form PTO/SB-112) attached.
☐ "To Address" and "Fee Address" both indicated. Use of a Correspondence Address is required.

2. For printing on the patent front page, list:
 (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents. If no name is listed, no name will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type):
 PLEASE NOTE: License or assignment is required before an assignee can appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 1.311. Completion of this form is required for the filing of an assignment.

(A) NAME OF ASSIGNEE: The Regents of the University of California Oakland, CA US
 (B) RESIDENCE (CITY AND STATE OR COUNTRY):

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private entity ☒ Government

4a. The following fee(s) are enclosed:
☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies: 10

4b. Payment of Fee(s):
☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-200 is attached.
☐ The Drawing is hereby submitted by charge de received fee(s), or credit card payment, in Deposit Address Number: 1-200-000 (include in cover copy of this form).

5. Change in Entity Status (from status indicated above):
☐ A. Applicant claims SMALL ENTITY status. See 37 CFR 1.31.
☐ B. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.31(g)(1).

6. Declaration of the USPTO: This declaration is required to apply the Issue Fee and Publication Fee (if any) or to apply any previously paid, office fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party to the application as shown on the records of the United States Patent and Trademark Office.

Authorized Signature: Michelle S. Chew Date: May 26, 2005
 Typed or printed name: Michelle S. Chew Registration No.: 56,456

This collection of information is required to obtain a benefit by the patent which is to be filed by the USPTO as a priority application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This information is submitted to the USPTO in confidence and will be used for the purpose of determining the priority of the application. Any applicant or attorney who provides this information to a third party in violation of this confidentiality obligation may be subject to disciplinary action. This information is not to be used for any other purpose. If you are an applicant, you are hereby notified that this information is being collected for the purpose of determining the priority of the application. If you are an attorney, you are hereby notified that this information is being collected for the purpose of determining the priority of the application. If you are a third party, you are hereby notified that this information is being collected for the purpose of determining the priority of the application. If you are a third party, you are hereby notified that this information is being collected for the purpose of determining the priority of the application.

PTOL-05 (Rev. 12/04) Approved for use through 04/01/07. CMB 05/1-013 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

PAGE 1/1 RVD AT 5/26/2005 4:49:55 PM [Eastern Daylight Time] SYR/SPTO-EXFEE-20 * DNS:7164000 * CSD:510 486 7896 * DURATION (min-ss) 00-00

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISS FEE
Commissioner Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

EXHIBIT B

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

03/03/2005

Patent Counsel
Lawrence Berkeley National Laboratory
One Cyclotron Road MS 90-1121
Berkeley, CA 94720

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Hadiyah Muhammad (Depositor's name)
Hadiyah Muhammad (Signature)
5/26/05 (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/652,493 | 08/31/2000 | Mina J. Bissell | IB-1398 | 3653 |

TITLE OF INVENTION: DESIGN OF NOVEL ASSAYS BASED ON THE NEWLY FOUND ROLE OF DYSTROGLYCAN AND ALPHA-DYSTROGLYCAN PROTEOLYSIS IN TUMOR CELL GROWTH

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$700 | \$0 | \$700 | 06/03/2005 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|------------|----------|----------------|
| YU, MISOOK | 1642 | 435-007230 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47: Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Michelle S. Chew

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Regents of the University of California Oakland, CA US

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☒ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 120690 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Michelle S. Chew

Date

May 26, 2005

Typed or printed name

Michelle S. Chew

Registration No.

56,456

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.


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EXHIBIT C

Statement of Hadiyah Muhammad, assistant for Applicant's attorney.

1. My name is Hadiyah Muhammad. I am the assistant for Michelle S. Chew, Applicant's attorney for U.S. Patent Application No.: 09/652,493, filed on 08/31/2000.
2. I sent the facsimile transmission of the issue fee transmittal (PART B-Issue Fee, PTOL-85) and certified that it was facsimile transmitted to the USPTO on May 26, 2005.
3. I reviewed the Transmission Verification Report, shown as Exhibit D, and based upon the Transmission Verification Report which stated that the "Result" was "OK", I believed that the USPTO had received the facsimile as sent. The Result "OK" indicates that the sent transmission was successfully received.
4. Furthermore, I reviewed the Auto-Reply Facsimile Transmission received a few minutes later by our fax machine. It showed a scanned copy of the received page, which matched the Issue Fee Transmittal I sent. Therefore, I believed that the Issue Fee Transmittal was in fact received by the USPTO.

Date: 11/03/2005


Signature of person making statement that
the paper was timely transmitted

Hadiyah Muhammad
Lawrence Berkeley National Laboratory
1 Cyclotron Road, MS 90B-104
Berkeley, CA 94720 USA

EXHIBIT D

TRANSMISSION VERIFICATION REPORT

TIME : 05/26/2005 13:53
NAME : LBL PATENT DEPARTMENT
FAX : 510-486-7896
TEL : 510-486-7058
SER.# : BROJ2J700610

DATE, TIME
FAX NO./NAME
DURATION
PAGE(S)
RESULT
MODE

05/26 13:53
917037464000
00:00:40
01
OK
STANDARD
ECM

BEST AVAILABLE COPY

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Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590 03/03/2003

Patent Counsel
Lawrence Berkeley National Laboratory
One Cyclotron Road MS 90-1121
Berkeley, CA 94720

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Hadijah Muhammad (Depositor's name)
[Signature] (Signature)
5/26/05 (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/652,493 | 08/31/2000 | Mina J. Bissell | IB-1398 | 3653 |

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| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$700 | \$0 | \$700 | 06/03/2005 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|------------|----------|----------------|
| YU, MISOOK | 1642 | 435-007230 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47: Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Michelle S. Chew

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Regents of the University of California Oakland, CA US

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☒ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee

4b. Payment of Fee(s):

☐ A check in the amount of the Fee(s) is enclosed

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISS FEE
Commissioner Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

03/03/2005

Patent Counsel
Lawrence Berkeley National Laboratory
One Cyclotron Road MS 90-1121
Berkeley, CA 94720

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

| | |
|-------------------------|--------------------|
| Hadiyeh Muhammad | (Depositor's name) |
| <i>Hadiyeh Muhammad</i> | (Signature) |
| 5/26/05 | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/652,493 | 08/31/2000 | Mina J. Bissell | IB-1398 | 3653 |

TITLE OF INVENTION: DESIGN OF NOVEL ASSAYS BASED ON THE NEWLY FOUND ROLE OF DYSTROGLYCAN AND ALPHA-DYSTROGLYCAN PROTEOLYSIS IN TUMOR CELL GROWTH

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$700 | \$0 | \$700 | 06/03/2005 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|------------|----------|----------------|
| YU, MISOOK | 1642 | 435-007230 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47: Rev 03-02 or more recent) attached. Use of a Customer Number is required.

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- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Michelle S. Chew

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Regents of the University of California Oakland, CA US

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☒ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 120690 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature *Michelle S. Chew*

Date *May 26, 2005*

Typed or printed name Michelle S. Chew

Registration No. 58,456

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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